Docket No.: NATURES.003C9

Customer No. 20,995



## INFORMATION DISCLOSURE STATEMENT

**Applicant** 

Peter T. McCarthy

App. No

10/712,085

Filed

November 13, 2003

For

HIGH EFFICIENCY HYDROFOIL

AND SWIM FIN DESIGNS

Examiner

Jesus D. Sotelo

Art Unit

3617

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

January 13, 2006

(Date)

Mark J. Kertz, Reg. No. 43,711

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 9 references to be considered by the Examiner. Also enclosed are 2 foreign patent references and/or non-patent literature as listed on the Information Disclosure Statement.

This Information Disclosure Statement is being filed before the mailing date of a final action and before the mailing date of a Notice of Allowance. The required fee is submitted herewith.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Ву:\_\_\_\_

Mark J. Kertz Registration No. 43,711 Attorney of Record Customer No. 20,995 (949) 760-0404

2287588 011206/ip



# Please Direct All Correspondence to Customer Number 20995

# TRANSMITTAL LETTER INFORMATION DISCLOSURE STATEMENT

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Mark J. Kertz, Reg. No. 43,711

## Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:
  - (X) Listing 9 references.
  - (X) Enclosing 2 references.
- (X) A check in the amount of \$180 to cover the IDS fee is enclosed.
- (X) Return prepaid postcard.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

01/18/2006 GWDRDDF1 00000048 10712085

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Mark J. Kertz Registration No. 43,711 Attorney of Record

Mark Kents

Customer No. 20,995

(949) 760-0404

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JAN 17 2006 Application No. 10/712,085 INFORMATION DISCLOSURE Filing Date November 13, 2003 First Named Inventor Peter T. McCarthy STATEMENT BY APPLICANT Art Unit 3617 (Multiple sheets used when necessary) Examiner Jesus D. Sotelo SHEET 1 OF 2 Attorney Docket No. 3617

	U.S. PATENT DOCUMENTS  EXAMINER NOTE: U.S. PATENTS MARKED WITH AN ASTERISK ARE COMMONLY OWNED WITH THE PRESENT APPLICATION							
EXA								
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear			
	1	3,913,158	10/21/1975	Vilarrubis				
	2	* 6,712,656	03/30/2004	McCarthy				
	3	* 6,719,599	04/13/2004	McCarthy				
	4	* 6,843,693	01/18/2005	McCarthy				
	5	* 6,884,134	04/26/2005	McCarthy				
	6	* 6,884,136	04/26/2005	McCarthy				
	7	* 6,918,805	07/19/2005	McCarthy				

FOREIGN PATENT DOCUMENTS							
Initials No Country Code-Nu		Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date Name of Patentee or Applicant		Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>1</sup>	
	8	WO 01/85267	11/15/2001	PCT			
	9	EP 1 082 975	03/14/2001	Europe			

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ¹

**Date Considered** 

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

	Application No.	10/712,085
INFORMATION DISCLOSURE	Filing Date	November 13, 2003
STATEMENT BY APPLICANT	First Named Inventor	Peter T. McCarthy
STATEMENT BY ALL EIGANT	Art Unit	3617
(Multiple sheets used when necessary)	Examiner	Jesus D. Sotelo
SHEET 2 OF 2	Attorney Docket No.	3617

### CO-PENDING U.S. PATENT APPLICATIONS COMMONLY OWNED WITH THE PRESENT APPLICATION

EXAMINER NOTE: Applicant respectfully requests the Examiner to consider whether the claims of any one or more of the following copending U.S. patent applications (which are commonly owned with the present application) create any issues of double patenting (and/or any other issues relating to patentability) with respect to any of the claims of the present application.

SPECIFICATION AND DRAWINGS: The section below indicates where the Examiner may find a copy of the specification and drawings of each cited co-pending application. For those cited copending applications which have been published, the corresponding U.S. Patent Application Publication is listed. For those listed copending applications which have <u>not</u> been published, but which have a published or issued counterpart application with a substantially similar specification and drawings, a U.S. Patent Application Publication or U.S. Patent corresponding to the published or issued counterpart is listed. For all other unpublished copending applications, a copy of the specification and drawings is enclosed where indicated.

CLAIMS: In view of the anticipated lapse of time between the submission of this Information Disclosure Statement and the Examiner's consideration of the information cited herein, Applicant has not submitted herewith copies of the currently-pending claims of the copending applications listed herein. Notwithstanding the requirements of 37 C.F.R. § 1.98(a)(2)(iii), Applicant respectfully submits that a more accurate examination can be facilitated by obtaining current claim sets from the USPTO's electronic file system. Accordingly, Applicant respectfully requests the Examiner to obtain the current claims of the listed copending applications from the USPTO's electronic records when the Examiner considers the copending applications cited herein.

Examiner Initials	Cite No.	Serial No.	Filing Date MM-DD-YYYY	Title	Inventor(s)	Atty. Docket No.	Spec. & Dwgs.
	10	11/107,521	04/15/2005	Methods for Creating Consistent Large Scale Blade Deflections	McCarthy	NATURES.015C4	2005/0181689
	11	10/877,969	06/25/2004	Methods for Creating Consistent Large Scale Blade Deflections	McCarthy	NATURES.018C1	2005/0003719
	12	10/606,265	06/25/03	High Efficiency Tip Vortex Reversal and Induced Drag Reduction	McCarthy	NATURES.024A	2004/0061029
	13	11/103,215	04/11/2005	High Deflection Hydrofoils and Swim Fins	McCarthy	NATURES.025C1	2005/0176318
	14	11/045,521	01/28/2005	Dual Adjustable Strap Designs For Swim Fins	McCarthy	NATURES.027C1	2005/0186866

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**Examiner Signature** 

Date Considered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T<sup>1</sup> - Place a check mark in this area when an English language Translation is attached.